

SUPPLY CHAIN CODE OF CONDUCT AUDIT QUESTIONNAIRE (ENGLISH)

Name of Supplier:	
Supplier Representative(s):	
Email:	
Date of completion:	

		<u>Supplier Response:</u>	<u>IMI auditor comments / actions:</u>	<u>Risk Score</u> <u>(low, medium, high)</u>
1	Do you comply with all applicable laws that govern; human rights; employee working age; wage rates; working hours; health; safety; and the environment?			
2	How do ensure you are compliant to the latest information regarding the local laws and regulations?			
3	What is the age of your youngest worker, and how do you ensure the validity of age claims?			
4	What are the key health & safety risks to your employees? What measures have you put in place to minimise these risks?			
5	Have you assessed the safety of employees in the event of a catastrophe (fire, flood,			

	building collapse)? What precautionary actions have you taken to minimise risk?			
6	Have employees received appropriate equipment & training in techniques that will protect their health and safety? What equipment and training have they been given?			
7	Are material safety data sheets (MSDS) available for all hazardous substances used? List any hazardous substances / processes used.			
8	What are the key pollution risks associated with your business? What measures have been put in place to minimise risks? (Include description of projects completed and future key projects & targets).			
9	What measures do you have in place to manage waste and to protect the environment?			
10	Are there any traces of minerals (tin, tungsten, tantalum or gold) in the products you sell to us? If so, list the smelters of these ores.			
11	What measures have you put in place to ensure that your business will not be subject to bribery or corruption?			
12	Do you encourage your suppliers to operate			

responsibly in respect of the above issues? Explain the actions you take.			
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IMI Auditor Name:	
Email:	
Date:	
Conclusions / next steps / essential corrective actions:	
Overall Score: (refer to risk classifications in SOP GRP P10-050 for best description of supplier code of conduct performance)	